

Main points of the proof

a guide on the longer paper "On Collatz theorem II"

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Summary

We got some remarks: "this paper is (too) long". *Vox populi vox dei*. We present this short, informal text hoping that it will serve you as a guide. Note, all references to a page are to the longer text.

Main points of our argumentation are:

0. The nature of Collatz problem is algorithmic, it concerns the halting property of Collatz algorithm Cl , see p. 2.

In the previous paper [] we have shown that for any given number $n \neq 0$ the Collatz algorithm halts, if and only if, there exists a triple of numbers $\langle x, y, z \rangle$ such that the equality $n \cdot 3^x + y = 2^z$ holds and an algorithm IC starting from the triple halts with $x + y + z = 0$.

1. There exist infinite Collatz computations.

Namely, the elementary theory of addition of natural numbers \mathcal{AP} , p. 24, also known as Presburger arithmetic has a non-standard model \mathfrak{M} , p.20-23. The universe of the structure \mathfrak{M} contains the standard natural numbers and other non-standard, unreachable elements.

For every unreachable element x its Collatz computation is infinite.

2. For every element n , its Collatz computation is finite, if and only if, there exists the least element x such that the following equality holds

$$n \cdot 3^x + \left(\sum_{j=0}^{x-1} \left(3^{x-1-j} \cdot 2^{\sum_{l=0}^j k_l} \right) \right) = 2^{\sum_{l=0}^x k_l}. \quad (\mu x)$$

Every element n determines an infinite sequence $\{k_l\}$ of elements, see recurrence (rec1), below.

3. We show that, if a Collatz computation of an element n is infinite, then the element is unreachable.
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- 1.1. One can limit her/his considerations on Collatz conjecture to a structure $\mathfrak{M} = \langle M; +, 0, 1, = \rangle$. For the operation of multiplication is **not needed**.
- 1.2. We shall consider models of Presburger arithmetic of addition of natural numbers. There are two computable (i.e. recursive) models of Presburger arithmetic: one is standard set of natural numbers $\mathfrak{N} = \langle N; 0, 1, +, = \rangle$, the second model is the subset M of the set of complex numbers that consists of all numbers $a + ib$ such that $a \in \mathbb{Z}$ (a is integer) and $b \in \mathbb{Q}^+$ (b is a non-negative rational number). Hence $\mathfrak{M} = \langle M; 0, 1, +, = \rangle$. subsection 7.1 on page 20 . Note, $N \subsetneq M$.
- 1.4. The section 2, page 4, exhibits an *infinite* computation of Collatz algorithm. Therefore, the Collatz conjecture is not a theorem of Presburger arithmetic (nor Peano arithmetic). Moreover, the Collatz conjecture can not be expressed by any first-order formula. For the Presburger arithmetic is a complete theory.
- 1.6. Every element n designates its unique Collatz computation..
The whole computation consists of either standard, reachable numbers or it contains non-standard, unreachable elements only. This is easily seen from the table 1 on page 21.

2.1. The following recurrence

$$\left. \begin{array}{l} k_0 = \exp(n, 2) \quad \wedge \quad m_0 = \frac{n}{2^{k_0}} \\ k_{i+1} = \exp(3m_i + 1, 2) \quad \wedge \quad m_{i+1} = \frac{3m_i + 1}{2^{k_{i+1}}} \end{array} \right\} \quad \text{for } i \geq 0 \quad \text{(rec2)}$$

replaces original Collatz recurrence (rec1), p. 2. Both recurrences designate infinite sequences. The second sequence is a compressed version of the first one.

2.2. Programs Cl and Gr are equivalent.

2.3. Program $Gr1$ is an extension of program Gr and see that it calculates the sequence described in point 2.1.

2.4. Programs $Gr2$ and $Gr3$ show more properties of the Collatz computations.

The formula $n \cdot 3^x + y = m_i \cdot 2^z$ is an invariant of the program $Gr2$.

Namely, program $Gr3$ shows an increasing sequence of triples $\langle i, Y_i, Z_i \rangle$ where $Y_0 = 0$ and $Y_{i+1} = 3Y_i + 2^{Z_i}$ and $Z_{i+1} = Z_i + k_{i+1}$ are consecutive values of variables x, y, z used in program $Gr2$.

All these programs halt if and only if, the Collatz algorithm halts.

I.e. the computation of Collatz algorithm for a given element n is finite, if and only if, there exists a standard number i , such that $m_i = 1$.

This in turn, holds iff i is the least standard number such that

$$n \cdot 3^i + \left(\sum_{j=0}^{i-1} (3^{i-1-j} \cdot 2^{\sum_{l=0}^j k_l}) \right) = 2^{\sum_{l=0}^i k_l}$$

2.5. In this way we prove the point 2.

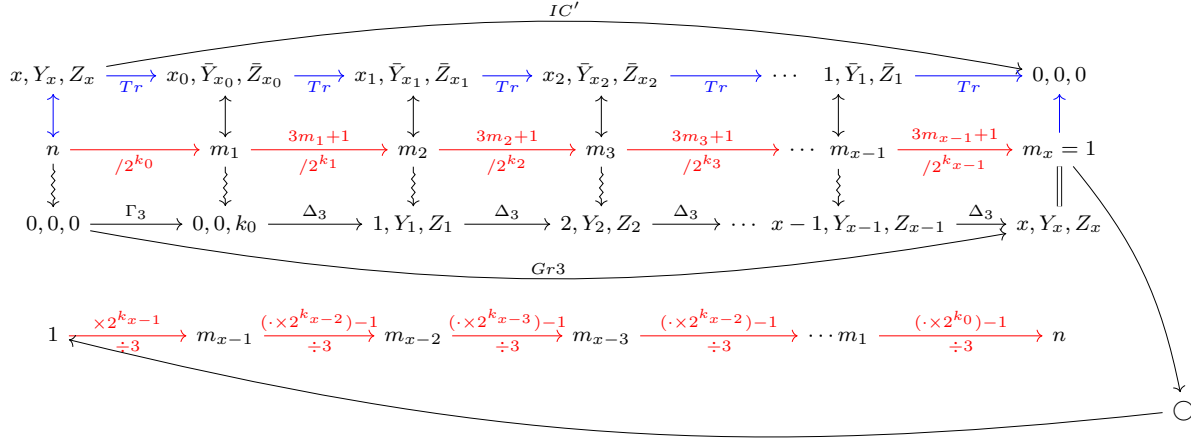


Figure 1. CASE OF FINITE COMPUTATION EXEMPLIFIED

Middle row, (with red arrows) represents computation of $Gr1$, elements k_i and m_i are given by the recurrence (rec2)

third row shows computation of $Gr3$, the subsequent triples are $X_{i+1} = i + 1, Y_{i+1} = 3Y_i + 2Z_i, Z_{i+1} = Z_i + k_i$

first row (blue arrows) shows computation of algorithm IC on triples, $\bar{Y}_x = Y_x$ and $\bar{Z}_x = Z_x$ and for $i = x, \dots, 1$ we have $\bar{Z}_{i-1} = \bar{Z}_i - k_i$ and $\bar{Y}_{i-1} = (\bar{Y}_i / 2^{k_i}) - 3^{i-1}$

2.6. The Figure 1, states the same as point 2, see Figure 5 p. 17.

3.1. We need to prove that if for a certain element n its computation is infinite then n is non-standard element.

3.2. Let n be such element, the sequence of consecutive values of the variable n is shown in the following diagram

$$n \xrightarrow{/2^{k_0}} m_1 \xrightarrow{(3m_1+1)/2^{k_1}} m_2 \xrightarrow{(3m_2+1)/2^{k_2}} m_3 \dots$$

An enriched version of the algorithm has infinite computation and shows the increasing, infinite sequence of triples

$$\begin{array}{ccccccc} n & \xrightarrow{/2^{k_0}} & m_1 & \xrightarrow{(3m_1+1)/2^{k_1}} & m_2 & \dots & \xrightarrow{(3m_{x-1}+1)/2^{k_x}} & m_x \neq 1 \rightarrow & \dots \rightarrow \\ \parallel & & \parallel & & \parallel & & \parallel & \parallel & \\ \langle 0, 0, 0 \rangle & \xrightarrow{\Gamma_3} & \langle 0, 0, k_0 \rangle & \xrightarrow{\Delta_3} & \langle 1, 1, k_0 \rangle & \dots & \xrightarrow{\Delta_3} & \langle x-1, Y_{x-1}, Z_{x-1} \rangle & \xrightarrow{\Delta_3} & \langle x, Y_x, Z_x \rangle \rightarrow \dots \end{array}$$

Note, for all $i \in N$ the lower row contains a triple $\langle i, Y_i, Z_i \rangle$. The sequence of these triples is monotone, increasing.

3.3. Therefore any infinite computation of Collatz algorithm contains some unreachable elements.

3.4. Therefore the entire computation consists of non-standard elements.

3.5. Hence, its origin, the element n is a non-standard element.

Historical remarks

Pál Erdős said on Collatz conjecture: *"Mathematics may not be ready for such problems."* Let's see.

Mojżesz Presburger has proved the completeness and decidability of arithmetic of addition of natural numbers in 1929.

In the same year S. Jaśkowski found a non-standard model of Presburger theory (see, note of A. Tarski of 1934).

Kurt Gödel (1931) published his theorem on incompleteness of Peano arithmetic.

Stephen Kleene shown (in 1936) that any recurrence defining a computable function can be replaced by the operation of effective minimum (nowadays one can say every recursive function in the integers, is programmable by means **while** instruction).

It seems that P. Erdős was wrong, his colleagues Rozsa Peter and Laszlo Kalmar were able to point it out.